

## **Audit and Risk Management Work Programme 2014/15**

*(Please note - additions since last meeting in italic)*

<b>Date</b>	<b>Items</b>
4 March	<ul style="list-style-type: none"> <li>• 2014/15 Internal audit plan</li> <li>• Internal Audit Progress Report</li> <li>• Internal Audit recommendations follow-up report</li> <li>• Investigation Update report</li> <li>• Strategic Risk Review – New: Safeguarding</li> <li>• Strategic Risk Review – Formerly SR11 - expanded to Generic Ponds and Dams Risk</li> <li>• Annual Governance Statement – methodology</li> <li>• Role of City as Trustees of Bridge House Estates (Report of Comptroller and City Solicitor)</li> <li>• Private Member Meeting with Deloitte</li> </ul>
13 May	<ul style="list-style-type: none"> <li>• Election of Chairman and Deputy Chairman</li> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Anti-Fraud &amp; Investigation Update report</li> <li>• Risk Management Update</li> <li>• Strategic Risk Review – Formerly SR16 expanded Information Management risk</li> <li>• <i>SR2 Supporting the Business City</i></li> <li>• <i>SR16 Information Security</i></li> <li>• Outcome of Internal Audit Peer Review</li> <li>• Head of Internal Audit Opinion and Annual report</li> <li>• HMIC Police Inspections Summary report</li> <li>• Annual Governance Statement – 2013/14</li> <li>• <i>Committee Effectiveness Review</i></li> <li>• Private Member meeting with Head of Internal Audit</li> </ul>

22 July	<ul style="list-style-type: none"> <li>• Audited 2013/14 City Fund and Pension Fund Financial Statements together with Deloitte's report thereon</li> <li>• Audited 2013/14 Bridge House Estates and Sundry Trusts Financial Statements together with Deloitte's report thereon</li> <li>• Audited 2013/14 City's Cash and City's Cash Trust Funds Financial Statements together with Moore Stephens report thereon</li> <li>• <i>SR5 – Flooding in the City - TBC</i></li> </ul>
9 September	<ul style="list-style-type: none"> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Investigations Update report</li> <li>• Risk Management Update</li> <li>• 2 Strategic Risk Reviews – tbc</li> </ul>
4 November	<ul style="list-style-type: none"> <li>• Internal Audit Planning for 2014/15</li> <li>• <i>Cash Handling and Banking Investigation follow up</i></li> <li>• Strategic Risk Reviews – tbc</li> </ul>
8 December	<ul style="list-style-type: none"> <li>• Deloitte's Annual Audit Letter on the City Fund and Pension Fund Financial Statements</li> <li>• Deloitte's annual audit plan for City Fund Financial Statements including agreement of the audit fee</li> <li>• Deloitte's annual audit plan for the Pension Fund Financial Statements including agreement of the audit fee</li> <li>• Moore Stephens - annual audit plan for the Non Local Authority Funds including agreement of the audit fee</li> <li>• Internal Audit Progress Report</li> <li>• Internal audit recommendations follow-up report</li> <li>• Anti-Fraud &amp; Investigation Update report</li> <li>• Risk Management Update</li> </ul>
January 2015	<ul style="list-style-type: none"> <li>• <i>Fraud Awareness Training update</i></li> </ul>